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# **Ridge Push Engine of Plate Tectonics**

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15 Abstract-Convection of the upper mantle drives the tectonic plates. This convection is a thermodynamic cycle that 16 exchanges heat and mechanical work between mantle and tectonic plates. Thermodynamics and observations indicate that 17 the energy of the geological activities resulting from plate tectonics is equal to the latent heat of melting, calculated at 18 mantle's pressure, of the new ocean crust regenerated at midocean ridges. This energy varies with the temperature of ocean 19 floor, which is correlated with surface temperature. The main objective of this manuscript is to demonstrate that plate 20 tectonics is a thermodynamic engine and can be calculated as such. Unlike existing tectonic models, the thermodynamic 21 model is very sensitive to variations of the temperature of ocean floor, which is correlated with surface temperature. 22 Therefore, the observed increase of geological activities can be projected with surface temperature rise. Other objectives of 23 the manuscript are to calculate the force that drives the tectonic plates, estimate the energy released, and validate the 24 calculations based on experiments and observations. In addition to the scientific merit of projecting the geological activities, 25 a good projection can have a border impact at the societal and economical levels. Investment and insurance related decisions 26 are affected by climate change, and our ability to project the geological activities is of paramount importance for the 27 economy and public safety. This work can thus provide tools to assess the risks and hazards associated with the trend of 28 geological activities with surface temperature rise. Keywords: force, energy, thermodynamics, plate tectonics.

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### 31 1. INTRODUCTION

32 Mantle convection as a possible cause of the motion of the tectonic plates is mentioned in 33 McKenzie (1969), who said that "thermal convection in some form is the only source of sufficient 34 energy." Attempts to explain how the large-scale convection of the mantle actually drives the tectonic 35 plates is an ongoing research subject. A partial list of related publications include Grigné (2005), 36 Bercovici (2002), Schubert (2004), and Bercovici (2010). They suggest that the large-scale convection 37 of the deep mantle drive the tectonic system. Other publications suggest that smaller-scale convection 38 of the upper mantle contributes to the dynamics of plate tectonics. These include Jarvis (1981), Zandt 39 (1993), Fu Rong-shan (2005), and Parsons (1978). Lenardic (2008) explores possible link between 40 climate change, mantle convection, and tectonics. These cited papers reference a large number of <sup>41</sup> publications that can be referred to for further reading on the subject of mantle convection.

42 Generally the available publications attempt to find a relationship between mantle convection 43 and motion of the tectonic plates. Mantle plumes are thought to rise from the deep mantle and 44 thermochemical plumes, A. A. Kirdyashkin (2013), interact with mantle free convection. Convection 45 cells are generated that provide the energy and power required to drive the tectonic plates. The upper 46 mantle convection is thought to be limited to the thermal boundary layer that occurs at the lithosphere-47 asthenosphere boundary and at the bottom of the upper mantle. This upper mantle convection is 48 assumed to be made of many cells, Parson (1978), that maintains an almost isothermal region below the 49 lithosphere. In this scenario, the driving force is not associated with small-scale convection; it is 50 assumed that buoyancy forces localized at ridges and ocean trenches that maintain the motion of the 51 tectonic plates. A. A. Kirdyashkin (2014) calculates the forces to which the plates are subjected in 52 subduction zone as a result of mantle convection. Others use isostasy principle and dynamic 53 equilibrium to calculate the force and energy of plate tectonics. The calculated force and energy of 54 tectonics using these methods do not vary significantly with the temperature of the ocean floor and they 55 cannot be used for projecting the observed increase of geological activities with surface temperature 56 rise. The temperature of ocean floor is correlated with surface water temperature through the 57 thermohaline circulation.

There appears to be no discussions in the literature suggesting that plate tectonics is a thermodynamic system driven by forced convection of the upper mantle. Nor are there publications that calculate the tectonic system by the traditional thermodynamic equations. Backus (1974) suggested the possibility that the earth contains at least two heat engines and Frank D. Stacy (2008) assumed the mantle as heat engine. However, forced convection of the upper mantle is not mentioned as a possible thermodynamic cycle that drives the tectonic system. This is a forced convection driven by a thermodynamic engine as opposed to the natural convection commonly addressed in the literature. The 65 tectonic plates, or ocean crust, is forced into ocean trenches and down into the asthenosphere, where it 66 is melted, or destroyed, then recycled to midocean ridges. The cold and mature oceanic plates exchange 67 heat with mantle as they sink into the earth's interior. They become part of the mantle and their 68 temperature increases substantially. As required by mass conservation, the mantle rises at midocean 69 ridges locations and partially melts to form magma that flows up to the crests of the ridges. The 70 pressure increases following mantle partial melting and the force of pressure raises midocean ridges. At 71 the crest of midocean ridges, magma cools and solidifies to regenerate new ocean crust, thus releasing 72 latent heat of solidification to the enclosed magma in the ridges. The developed pressure at midocean 73 ridges drives the tectonic plates and spread them apart. As the new ocean crust matures with time, it is 74 then driven into the trenches and the cycle repeats. During this thermodynamic cycle, heat and work are 75 exchanged between mantle and the surrounding tectonic plates of the oceanic lithosphere. The resulting 76 work exchanged dissipates as geological activities. As will be demonstrated, the forced convection of 77 the upper mantle removes approximately 3.7% of the total internal heat generated in the earth's core. 78 The larger-scale and natural convection of the mantle removes most of the internal heat generated, 79 approximately 96.3/% of the total. While this larger convection does not vary appreciably with the 80 temperature of the ocean floor, the forced convection of the upper mantle does vary to maintain the 81 temperature of the solid earth constant with variations of the temperature of ocean floor. This 82 temperature is correlated with surface temperature through the thermohaline circulation. Therefore, the 83 energy exchanged in the upper mantle convection is variable with surface temperature and the energy 84 of geological activities varies as a result. Presently, surface temperature is increasing with climate 85 change and the temperature of ocean floor is increasing. The convection of the upper mantle must 86 increase to remove heat that otherwise would accumulate in the solid earth. Consequently, the work of 87 plate tectonics, or geological activities, increases in the form of increased seismic activities, volcanic 88 events, and rise of midocean ridges. Observations are in agreement.

89 Unlike existing tectonic models, the proposed thermodynamic model in this manuscript has the 90 advantage of being very responsive to slight variations of the temperature of ocean floor. This model 91 thus can be used to project the observed increase of geological activities with surface temperature rise. 92 Other existing models are not sensitive enough to project the geological activities.

93 The objective of this manuscript is to calculate the driving force of plate tectonics using 94 thermodynamics; estimate the energy released; validate the calculations based on experiments, 95 observations, and the work of others; and project the energy of plate tectonics with surface temperature 96 rise resulting from climate change.

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#### 2. MODEL, ASSUMPTIONS, AND DATA

99 The proposed model consists of applying the laws of thermodynamics on existing models of 100 plate tectonics that are available in literature. Figure 1 is a schematic plate tectonics representation 101 based on Flovd (1991, p. 31 and 127). Part of the earth's internal heat, Oi, is exchanged through the 102 oceanic lithosphere, ocean crust and the solid and rocky part of the upper mantle, which will be 103 assumed to constitute the tectonic plates. A mass M0 of oceanic plate 1 is forced convected into the 104 ductile part of the upper mantle and asthenosphere, which will collectively be referred to as upper 105 mantle. M0 is melted, or destroyed, and becomes part of the mantle as it sinks into the earth's interior. 106 Simultaneously and as required by mass balance, a smaller magma mass, M, rises from the mantle to 107 midocean ridges following the partial melting of the upwelling mantle as it decompresses. The melt, 108 which is assumed to be basaltic magma, flows upwards under high pressure and solidifies to form a 109 new ocean crust above the mantle, shown as the shaded area of Fig. 1 (a), and sea floor spreading 110 occurs in the process. This sea floor spreading, or plate tectonics' motion, is caused by the large 111 pressure developed during magma generation deep in the mantle below midocean ridges, and there is a 112 correlation between magma pressure and the degree of magma melting. The ductile portion of the mass M0, or the mantle, that does not melt is recycled internally, R, with the moving mantle and plates, and the heat of convection associated with this motion is rejected to ocean by conduction through the lithosphere. With this heat rejection, the temperature of the tectonic plates and recycled mantle decreases, and the ductile mantle and plates harden. Plate thicknesses increase as they spread away from midocean ridges. With time, the tectonic plates mature and the full mass M0 is regenerated and the cycle repeats. In the process energy is removed from the earth's interior to the surrounding ocean and continents.

120 The lithosphere consumption and recycling converts the lithosphere from rocks into 121 mantle/asthenosphere consistency. Heat is removed by forced convection from the earth's interior to 122 the surroundings, and the process is treated as a thermodynamic cycle. If the earth's subsystem 123 enclosing the ductile part of the upper mantle including the recycled mantle, R, is considered as the 124 thermodynamic system and tectonic plates as the surroundings, an amount of heat, Qh, is removed from 125 the earth's interior by upper mantle forced convection at the hot temperature Th. An amount of heat, 126 Qc, is rejected by the mantle to the surroundings at the cold temperature Tc, which is reasonably equal 127 to the average temperature of the moving mantle and plates. The difference, Qh-Qc, is converted to 128 work that drives the tectonic plates. As will be demonstrated, this work is equal to the latent heat of 129 solidification of basalt, mass M, regenerated at midocean ridges plus the work of midocean ridges 130 themselves. Also, this work is equal to the latent heat of melting of the mass, M, of basalt calculated at 131 the conditions of the deep mantle below midocean ridges.

The availability of convertible heat or energy to work is a necessary condition but insufficient to obtain mechanical work. The means to convert this heat into mechanical work must also exist. Based on Floyd (1991, p. 31 and 127), the uplifted lithosphere at midocean ridges encloses magma chamber located beneath the ridges. This lithosphere and tectonic plates appear to act as pistons and piston rods that convert the available magma heat at high pressure into mechanical energy. Under pressure, the lithosphere at midocean ridges expands above ocean floor and some of the energy is stored temporarilyin the form of potential energy. This energy is released in full when the plates reach ocean trenches.

Like other thermodynamic cycles, the tectonic engine has cycle medium, and the medium is basalt. It is cold rocks at the temperature of the oceanic lithosphere and hot molten magma deep in the mantle. The phase change of basalt in a complete cycle is accompanied by heat and mechanical work exchanged between the hot mantle and colder lithosphere. The mass of the cycle medium remains practically unchanged in the process.

The laws of thermodynamics apply to the plate tectonic engine and they are utilized in the energy analysis. Although the tectonic plates are consumed in the process, they are regenerated in kind. Over the years, the system, as defined, exchanges heat only with its surroundings tectonic plates and matter is not exchanged. The system can be reasonably considered as closed thermodynamic system.

148 Magma generation is discussed in Yoder (1976), which provides the physical properties of 149 basaltic rock and basaltic magma. They include specific gravity, tensile strength, shear fracture, specific 150 heat, latent heat of melting, thermal conductivity, phase diagrams, and magma generation model. The 151 data and model are used in this manuscript. Given the large ratio of tectonic plate dimensions to 152 thickness, they are represented as a hair line in Fig. 1b. The force applied on these plates is practically 153 axial, and the plates are subjected to compression. This force drives the oceanic plates under the 154 overriding continental plates and considerable heat of friction is produced between the mating surfaces. 155 If for one reason or another the plates at the subduction zones are not free to move, the plates can 156 buckle under the large force of pressure, F, thus storing massive amount of energy, similar to that 157 stored in a spring. The stored energy can be released instantly in the form of geological activities. The 158 net effect of this process is that earth's internal heat is converted to work, or geological activities, and 159 this work dissipates as heat in the continents. The heat is then radiated by land and earth's internal heat 160 relived.

Because the young plates regenerated at midocean ridges are hot, ductile, and relatively thin, major seismic events are less likely to occur in the vicinity of these ridges. The activities are expected to be more pronounced in locations where the plates approach maturity for they are thick and brittle.

Sea brine will inevitably seep into the earth's interior with the sinking of the oceanic plates.
Because the brine is neither part of the system as defined nor part of the surrounding tectonic plates, the
heat exchanged with sea water must not be considered in the thermodynamic cycle of plate tectonics.
The heat exchanged with sea water is a separate cooling cycle of the earth's interior.

168 For this suggested thermodynamic model, the temperature of the solid earth is considered to be 169 steady based on Jacobs (1953). This and other studies suggest that the temperature of the solid earth has 170 cooled by less than 200 °K in one billion years. For all practical purposes, a steady temperature of the 171 solid earth and constant internal heat flow are reasonable assumptions. This assumption is fundamental 172 and our observations confirm its correctness. Presently, the temperature of ocean floor is increasing at 173 about the rate of surface temperature rise, Purkey and Johnson (2010). Assuming that the linear thermal 174 expansion of earth's crust is about 5.4 x  $10^{-6}$  °C<sup>-1</sup> and surface temperature rise of the order of 0.007 °C 175 annually, then the change in the earth's radius would be equal to about 637 100 000 x 0.007 x 5.4 x  $10^{-6}$  =24.1 cm annually, which is not observed. Therefore, no heat accumulation occurs in the solid 176 177 earth with the observed increase of the temperature of ocean floor. The earth's internal heat that would 178 accumulate is removed steadily in the form of increased geological activities as observed.

A small increase in the temperature of sea brine adhering to ocean floor affects the thermodynamics of midocean ridges, which are engine chambers. The temperature of ocean floor is correlated with the temperature of surface water through the thermohaline circulation; it is approximately equal to the temperature of surface water of the high latitudes of the northern hemisphere, which is presently on the rise with climate change. Because the temperature of the solid

184 earth is unchanged with time, the earth's internal heat must be rejected constantly and steadily. This is 185 accomplished by increasing convection heat transfer in the upper mantle, which removes heat that 186 otherwise would accumulate in the earth. Consequently, the thermodynamic cycle of the plate tectonic 187 engine per unit time increases and the work produced, which is equal to the energy of geological 188 activities, increases simultaneously. By knowing the trend of surface temperature, the energy of the 189 geological activities can be projected with time.

190 To validate this work, experimental and observed data are utilized. The data include degree of 191 mantle melting, observed radiated energy of earthquakes, and trend of the geological activities with 192 climate change. These encompass radiated energy of earthquakes, rise of midocean ridges, and increase 193 of volcanic events. Also, the calculated compressive stress, force, and energy of plate tectonics are 194 compared with those computed by others and found to be in agreement.

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#### 196

### **3. BASALTIC MAGMA GENERATION AND DEVELOPED PRESSURE**

197 The model schematically presented in Fig. 1a, which is not to scale, assumes that the annual rate 198 of magma produced, M, is considerably smaller than the mass of magma accumulated in the magma 199 chamber. Therefore, the flow of magma from its generation point to the point of solidification at 200 midocean ridges is considered to occur at constant volume. Similar to the observed volcanos, a 201 sufficiently large magma chamber can produce force large enough to uplift and shear the lithosphere at 202 midocean ridges and split and spread the mantle apart. The displaced mantle cools as it spreads away 203 and it is replaced in kind following the loss of heat by conduction to the ocean. The pressure generated 204 due to magma melting thus provides steady and sustained force that drives the tectonic plates.

205 The pressure produced as a result of magma partial melting in the deep mantle below midocean 206 ridges is large, of the order of 34 600 bar, which can be calculated using the equations of 207 thermodynamics. Equation 4-148, Sect. 4, Thermodynamics, of Perry and Green (1984) will be used.

- 208 The equation follows:
- 209
- <sup>210</sup> dS=Cp dT/T- $(\partial V / \partial T)_p$  dP

211 dS=dQ/T

- 212 Where
- <sup>213</sup> S = Entropy of the system in consideration, J Kg<sup>-1</sup>  $^{\circ}$ K<sup>-1</sup>.
- <sup>214</sup> Cp = Specific heat of the system at constant pressure, J Kg<sup>-1</sup>  $^{\circ}$ K<sup>-1</sup>.
- <sup>215</sup> T = Temperature of the system,  $^{\circ}$ K.
- 216 V = Volume of the system,  $M^3 \text{ Kg}^{-1}$ .
- P = Pressure of the system, Pa.
- <sup>218</sup> Q = Heat exchanged, J Kg<sup>-1</sup>.

219 The heat is positive if gained by the system, whereas the work is negative if delivered by the system.

220 The equation is valid only at sites of magma generation where mantle and magma coexist. As 221 the magma rises away and segregates from the remaining un-melted mantle, the equation ceases to 222 apply. Assuming that the rising mantle deep below midocean ridges as the system, the mantle partially 223 melts to form magma as it decompresses on its way up. The melting occurs at about the temperature of 224 the rising mantle, T, and the temperature change dT during melting is too small compared with T, 225 Yoder (1976, p. 65). The term Cp dT/T can therefore be neglected from the equation. The melting 226 occurs adiabatically and the heat exchanged, Q, is in fact equal to the latent heat of mantle melting for 227 there is no other source of heat to exchange with the mantle. Q has a negative sign because it is 228 removed from the mantle, the thermodynamic system in consideration. The equation simplifies to the 229 following equalities:

<sup>231</sup> -d(Lfs)/T=-(
$$\partial V/\partial T$$
)<sub>p</sub> dP; and d(Lfs)=( $\partial V/\partial T$ )<sub>p</sub> x T

Where Lfs is the latent heat of fusion of the mantle, J Kg<sup>-1</sup>. This heat of melting varies with the degree of mantle melting as magma forms.

x dP

The motion of the plate tectonic cycle occurs "infinitesimally" with time. At an infinitesimally decreasing pressure, the total volume of the mantle and magma increases infinitesimally over the melting temperature range as the mantle decompresses on its way up. For the last equation and at a constant pressure, the volume, which is a continuous function of the temperature, can be developed in a Maclaurin's series as follows:

239

240 
$$V(T) = V(T0) + [dV/dT]_{T0} \times dT + R$$

241

242 Where  $[dV/dT]_{T0}$ , which is constant, is the slope of the function V(T) calculated at the initial 243 melting temperature T0, and R is a remainder that can be neglected for infinitesimal change, which is 244 the case. Because of the slow nature of the process,  $[dV/dT]_{T0}$  is constant that applies throughout the 245 melting temperature range dT. At constant pressure,  $V(T)-V(T0)=dV=[dV/dT]_{T0} \times dT$  and 246  $dV/dT = [dV/dT]_{T0} = (\partial V/\partial T)_{D} = C = constant$ . The value of the constant, C, is approximately equal to the 247 volume change of the melt per one degree Kelvin. Therefore  $(\partial V / \partial T)_p \ge T$  is reasonably equal to the 248 total change in the volume of mantle when it melts completely at mantle's temperature, T, and mantle 249 pressure, P. At 0% degree of magma melting, which is assumed to be basaltic magma, d(Lfs)=0. The 250 term  $(\partial V / \partial T)_p x T$  is approximately equal to the volume change when basaltic rock melts, and it is 251 known, Yoder (1976, p. 94). Basaltic rock melts at high temperature and the volume of the melt increases by 0.049 cm<sup>3</sup> per gram, or approximately 14.4% increase by volume, assuming that the specific gravity of basaltic rock is 2.94, Yoder (1976, p. 94). This is equivalent to 4.9 x  $10^{-5}$  M<sup>3</sup> kg<sup>-1</sup>. Therefore,  $(\partial V / \partial T)_p$  x T is approximately equal to 4.9 x  $10^{-5}$  M<sup>3</sup> kg<sup>-1</sup> and d(Lfs)=4.9 x  $10^{-5}$  x dP. The change in mantle pressure at melting can be calculated by integrating this last equality as follows:

<sup>257</sup> 
$$\Delta P=2.04 \times 10^4 \times \Delta Lfs=2.04 \times 10^4 \times f \times Lfs$$

258

259 Where, f, is the degree of mantle melting expressed as percent fraction. If f=0,  $\Delta Lfs=0$  and 260  $\Delta P=0$ , and if the degree of melting is equal to, f, then  $\Delta P=2.04 \times 10^4 \times 10^4 \times 10^4 \times 10^{10}$ (1976, p. 107) suggests a partial magma melting of 30% (f=0.3) and 135.4 cal g<sup>-1</sup> for the latent heat of 261 262 basaltic rock melting corrected for mantle pressure, Yoder (1976, p. 95). The value of the latent heat is 263 equal to 135.4 (cal  $g^{-1}$ ) x 4.18 (J cal<sup>-1</sup>) x 1 000 (g kg<sup>-1</sup>)=565 972 J kg<sup>-1</sup>. At 30% magma partial melting, f x Lfs=0.3 x 565 972=169 792 J kg<sup>-1</sup>, and  $\Delta P$ =169 792 x 2.04 x 10<sup>4</sup> =3.46 x 10<sup>9</sup> Pa, which is 264 265 equal to 34 600 bar. It will be demonstrated later in Sect. 4 using the laws of thermodynamics that mantle partial melting of 30% and the latent heat of magma melting of 169 792 J kg<sup>-1</sup> as suggested by 266 267 Yoder (1976) are reasonable. The developed pressure following mantle rising, decompression, and its 268 subsequent partial melting raises the ridges and drives the tectonic plates.

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# <sup>270</sup> 4. TECTONICS AS A THERMODYNAMIC CYCLE

In Fig. 2, tectonics is schematically presented as a thermodynamic cycle. The actual thermodynamic cycle is drawn on the enthalpy-concentration diagram, Fig. 2 (a). The corresponding

idealized Carnot cycle is presented in Fig. 2 (b), temperature (T)-entropy (S) diagram. Figure 2 (a),
which is not to scale, is a window of a simplified rock phase diagram showing basalt generation from
the mantle. The basis of Fig. 2 (a) construction is Yoder (1975), Fig. 4-7, page 65; Fig. 5-4, page 93;
and Fig 8-10, page 149.

277 Referring to Fig. 1 and Fig. 2, the mature tectonic plate rocks at point 4 having mass M0 are 278 made of two components: mass M, which is cold and solid basalt, and recycled mantle, R. Their 279 combined composition is XM0 and it is represented by point M0, which is also equal to point 4. This 280 mass is forced convected into the mantle/asthenosphere to point 3, where it is subjected to considerable 281 pressure, from approximately ocean floor pressure of 350 bars at point 4 to point 3 having pressure in 282 excess of 36 400 bars. Rock average temperature increases from T0, which is approximately equal to 283 Tc, to Th, deep in the mantle. On the T-S diagram, this transformation is represented as adiabatic 284 compression from point 4 to point 3. As required by mass conservation, the mantle rises upwards to 285 point 2 and the pressure decreases to P2, to approximately 5 000 bars. Following this decompression, 286 the mantle at point 3 partially melts to produce liquid basalt mass, M or point 2, and the balance of un-287 melted mantle R, which is slightly cooler than the paternal mantle. The total volume increases 288 following mantle partial melting. Segregation of liquid magma, M, and, R, occurs and basaltic magma 289 accumulates in the magma chamber. This transformation is represented by the isothermal expansion 290 between point 3 and point 2 on the T-S diagram, and the heat, Qh, is exchanged. Under pressure and the 291 released latent heat of basalt solidification, magma chamber liquid expands from point 2 to point 1. 292 This is represented as the adiabatic expansion from point 2 to point 1 of the T-S diagram, and the work 293 of plate tectonics, Wc, is produced. Finally, the solidified basalt, mass M, at point 1 cools to 294 approximately surface temperature, point 4', which is then combined with the recycled mantle, point R, 295 to regenerate the starting mass M0 at point 4 and the cycle repeats. This last transformation is 296 represented on the T-S diagram by an isothermal cooling from point 1 to point 4. Heat, Qc, is rejected

- <sup>297</sup> during this transformation. The maximum theoretical work produced by the Carnot cycle Wc=Qh-Qc.
- The first law of thermodynamics can be used to analyze the tectonic thermodynamic cycle. The law and its related equations are presented in Sect. 4, Thermodynamics, of Avallone and Baumeister (1996). The first law of thermodynamics and other related equations follow:
- 301
- dQ=dU+dW
- 303 H=U+PV
- 304 Carnot cycle's theoretical efficiency=1-Qc/Qh=1-Tc/Th
- 305 Thermal efficiency= $\eta = 1 (Tc/Th)^{1/2}$
- 306 W=Qh x  $\eta$
- 307
- 308 Where
- 309 Q =Heat exchanged with the system in consideration, J.
- 310 U =Internal energy of the system in consideration, J.
- 311 W = Work exchanged between the system and its surroundings, J.
- 312 P =System pressure, Pa.
- 313 V =System volume,  $M^3$ .
- 314 H =System enthalpy, J.
- 315 Qh=Heat input into the system at the temperature of the hot reservoir, J.
- 316 Qc=Heat rejected by the system at the temperature of the cold reservoir, J.
- 317 Th=Temperature of the hot reservoir supplying Qh, <sup>o</sup>K.
- 318 Tc=Temperature of the cold reservoir receiving Qc, <sup>o</sup>K.
- 319 The heat is positive if gained by the system, whereas the work is negative if delivered by the system.
- 320 Selection of system and surrounding is important in order to obtain the desired geophysical

321 quantities. Cycle medium is the recycled mantle, R, and basalt, M. If the system is assumed to be this 322 cycle medium, the surroundings are lower mantle, ocean, and overriding plates. For this selection, the 323 cold temperature Tc is equal to surface temperature, Ts. The work exchanged with the surroundings 324 includes an undesired fraction exchanged with the lower mantle. This selection of thermodynamic 325 system is inadequate for the objectives of the manuscript. The right selection for the system is the 326 sphere enclosing the mantle including the recycled mantle, R. This system has tectonic plates only as 327 surroundings and basalt as cycle medium. The work exchanged is that to which the tectonic plates are 328 subjected, which is also equal to the sought energy of geological activities. The value of cold 329 temperature Tc for this system will be greater than that of surface temperature, Ts. It is about equal to 330 the average temperature of the mantle and surface water, approximately equal to (Ts+Th)/2. The 331 relationship between thickness of tectonic plates and rate of floor spreading is important for this work. 332 Based on observations and mathematics, plate thickness at any given distance from the midocean ridge 333 is proportional to the square root of the time required or age of the tectonic plate. This relationship is 334 available in literature and thus will not be discussed in this manuscript.

335 Referring to Fig. 1, the mass M0 of the mature oceanic plate 1 gains heat by convection as it is 336 forced to flow internally through the earth's interior to midocean ridges. Its temperature increases from 337 TO to Th. The heat gained by this convective heat transfer is equal to Qh. At midocean ridges, the 338 mantle rises up and partially melts as it decompresses. The melted mantle produces an amount of 339 magma that is equal to M and system pressure increases considerably. Under the pressure, magma flow 340 uplifts the ridges and drives the tectonic plates. The mass M solidifies at mid ocean ridges as it cools 341 and tectonic plates spread away. The remaining un-melted and ductile mantle mass, R, which is at 342 about magma melting temperature, is recycled internally with the moving plates. Plate thickness 343 increases as the temperature of this mantle mass decreases following heat rejection to ocean. The 344 sphere enclosing the ductile part of the upper mantle can be assumed as the system for this

thermodynamic cycle and tectonic plates as the surroundings. The theoretical and thermal efficiencies
 as well as the work produced by this cycle can be determined from data available.

347 Floyd (1991, p. 31 and 128) suggests that the average temperature, T0, of the mass M0, of the 348 mature tectonic plates is approximately equal to 650 °C (923.2 °K) and mantle temperature, Th, is at 349 about the magma melting temperature of 1 280 °C (1 553.2 °K). The cold temperature, Tc, at which Qc 350 is rejected can be reasonably assumed to be equal to the average temperature of the surrounding 351 tectonic plates. Tc is approximately equal to the average of sea floor temperature and magma melting 352 temperature, or approximately equals to (274.2+1 553.2)/2=913.7 °K. The estimated specific heat, Cp, of mantle rock by Yoder (1976, p. 71) is about 0.3 cal  $g^{-1} \circ C^{-1}$ , which is equal to 1 250 J kg<sup>-1</sup>  $\circ K^{-1}$ . 353 Therefore, Qh=Cp x (Th-T0)=1 250 x (1 553.2-923.2)=787 500 J kg<sup>-1</sup>, and the following applies for 354 355 this thermodynamic cycle:

356

357 Carnot cycle theoretical efficiency=1-Tc/Th=1-913.7/1 553.2=0.41

358 Thermal efficiency=
$$\eta$$
=1-(Tc/Th)<sup>1/2</sup>=1-(913.7/1 553.2)<sup>1/2</sup>=0.23

359 W=Qh x 
$$\eta$$
=787 500 x 0.23=181 125 J kg<sup>-1</sup>.

$$360 \qquad \text{Qc=Qh-W=787 500-181 125=606 375 J kg}^{-1}.$$

The calculated amount of work, W, delivered to the surrounding tectonic plates, 181 125 J kg<sup>-1</sup>, is approximately equal to the latent heat of basaltic rock calculated at mantle's pressure and 30% partial melting as suggested by Yoder (1976), which is equal to 169 792 J kg<sup>-1</sup>, Sect. 3. This conclusion can also be reached by using a different approach as follows:

The first law of thermodynamics is applied by considering the earth's interior enclosed by the sphere of the ductile portion of the upper mantle as the system and the lithosphere, or tectonic plates, as <sup>367</sup> the surroundings. The first law of thermodynamics follows:

368

369 dQ=dU+dW

dH = dU + d(PV)

371

where

 $^{373}$  Q =The generated earth's internal heat that is gained by the system, J.

<sup>374</sup> U =Internal energy of the system, the earth's interior, J.

W = The work exchanged between the system, as defined, and the surrounding tectonic plates, J.

<sup>376</sup> H =Enthalpy of the system as defined, the earth's interior, J.

<sup>377</sup> PV=The product of the pressure of the earth's interior by its volume.

378

379 Because the system, the earth's sphere enclosed by the ductile portion of the upper mantle, is 380 incompressible, Adams L. H. (1926), then the term d(PV) can be neglected. The differential of the 381 internal energy, dU, can be replaced by the differential of the enthalpy of the system dH. At steady flux 382 of internal heat, dQ=0. Therefore dW=-dH. The change in the enthalpy of the system, dH, is equal to Ms [Cps dT+d(Lfs)]. Where Ms is system mass, kg; Cps is the specific heat of the system, J kg<sup>-1</sup>  $^{\circ}K^{-1}$ ; 383 T is system temperature,  ${}^{\circ}$ K; and Lfs is the latent heat of melting of the system, J kg<sup>-1</sup>. System 384 385 temperature is reasonably constant as discussed in Sect. 2 and  $dT\approx 0$ . Therefore, the differential of work 386 exchanged can be expressed by the following equality:

387

388 dW=-Ms d(Lfs)

The work has a negative sign, or it is produced by the mantle and delivered to the surrounding tectonic plates. The amount, Ms d(Lfs), is equal to the latent heat of phase change of the earth system enclosing the ductile part of the upper mantle. This system is practically unchanged except at midocean ridges where only the mass, M+R, of the mantle changes phase by mantle partial melting. Or, dW=-Ms d(Lfs)=-(M+R) d(Lfs). This equation can be integrated and the work obtained. For 0.0% degree of mantle melting, d(Lfs)=0 and the work produced, W, is equal to zero. For a degree of melting that is equal to, f, the work delivered follows:

397

- <sup>398</sup> W=-M x f x Lfs-R x 0 x Lfs=-M x f x Lfs=M Lf
- 399

400 The factor, f, is the degree of mantle melting expressed as percent fraction. The recycled mantle, 401 R, has zero degree of mantle melting, or f=0. Also, f x Lfs= Lf, where Lf is basalt latent heat of melting, J kg<sup>-1</sup> calculated at mantle pressure. The last equation shows that the work delivered by the 402 403 plate tectonic system is equal to the latent heat of melting of the mass, M, of basaltic rock at the 404 conditions of deep mantle below midocean ridges. This work is also equal to the latent heat of 405 solidification of the regenerated basaltic rock at midocean ridges calculated at mantle's pressure. Per 406 kilogram of new ocean crust regenerated, the mechanical work delivered to and by the tectonic engine 407 is equal to f x Lfs=Lf, or it is equal to the latent heat of partial melting of mantle rock calculated at 408 mantle's pressure. This is also equal to the latent heat of melting of basalt corrected for mantle pressure, 409 in agreement with the conclusion reached earlier.

Because the regenerated mass, M, solidifies at about the pressure of ocean crust, which is considerably less than that of the mantle, not all of the work is delivered immediately to the tectonic plates by the force of pressure produced. The difference between magma latent heat of melting calculated at mantle's pressure and that calculated at oceanic crust's pressure, which is approximately

414 equal to 33% of (f x Lfs) based on Yoder (1976, p. 95), maintains the uplift of the lithosphere at 415 midocean ridges. Therefore, the value of the energy of midocean ridges is 0.33 x 181 125=59 770 J kg<sup>-1</sup>. The mass, M, can be calculated by knowing the volume of new ocean crust that is formed at 416 417 midocean ridges, or the shaded area of Fig. 1 and the density of ocean crust. The latent heat of fusion of 418 basaltic rock, Lf, is available in literature and it is calculated in Sect. 3. The work exchanged with the 419 tectonic plates, W=f x Lfs=Lf, thus can be determined. W is equal to the total energy imparted by plate 420 tectonics, which includes the energy radiated by the earthquakes, the seismic energy dissipated as heat 421 through friction, the energy radiated elastically through the earth, the potential energy associated with 422 lifting of the midocean ridges, and the energy of volcanic events.

The density of the basaltic rock based on Yoder (1976, p. 94) is nearly 2 940 kg  $M^{-3}$ . On the other hand, Floyd (1991, p.116) assumes that the length of midocean ridges is approximately 60 000 km. Floyd (1991, p. 33 and 36) shows that the thickness of the ocean crust at midocean ridges is 6-7 km. Sjöberg (2004) suggests that the average thickness of the lithosphere at midocean ridges is about 15 km. Floyd (1991, p. 41, 42 and 266) indicates that sea floor spreading can vary between 25 and 50 mm annually and may approach 200 mm yr<sup>-1</sup> in some locations. These data can be used to calculate the annual average mass, M, produced at midocean ridges.

In Table 1, the total energy of plate tectonics is presented for different values of sea floor spreading and lithosphere thicknesses at midocean ridges. The shaded rows represent the likely weighted average values based on Dixon (2007, p. 543), who uses 40 mm yr<sup>-1</sup> of sea floor spreading in modeling the subduction zones. From Table 1, the likely weighted average value of the energy produced by tectonics is approximately equal to  $1.29 \times 10^{19}$  J yr<sup>-1</sup>. Based on the U.S. Geological survey, Table 2, the observed and measured annual energy radiated by the earthquakes alone is <sup>436</sup> approximately equal to 7.66 x  $10^{18}$  J yr<sup>-1</sup>. The two figures are of the same order of magnitude. These <sup>437</sup> calculations show that approximately 60% of the energy of plate tectonics is dissipated in the form of <sup>438</sup> energy radiated by the earthquakes.

The weighted average force, F, that drives the tectonic plates can be estimated. The calculated average annual energy released,  $1.29 \times 10^{19}$  J, is equal to the force F x annual average spreading of ocean floor of 0.04 meters. Consequently, F=1.29 x  $10^{19}/0.04=3.23 \times 10^{20}$  N, and the weighted average tectonic force calculated per unit length of midocean ridges is approximately equal to 3.23 x  $10^{20}/60\ 000\ 000=5.38 \times 10^{12}$  N m<sup>-1</sup>. Based on Floyd (1991, p. 31 and 33), a mature tectonic plate is about 125 km thick. Therefore, the compressive stress associated with the force F is of the order of 4.30 x  $10^7$  Pa (430 bar).

446 The calculations reveal that the total heat exchanged in the convection of the upper 447 mantle/asthenosphere, Qh, is approximately equal to 4.35 times the energy delivered to the tectonic plates, W. Therefore, the total heat removed by this convection is equal to 4.35 x  $1.29 \times 10^{19} = 5.61 \text{ x}$ 448  $10^{19}$  J yr<sup>-1</sup>. Based on Davies (2010), the total internal heat of the earth is equal to 1.5 x  $10^{21}$  J yr<sup>-1</sup>, or 449 450 the upper mantle/asthenosphere convection removes about 3.7% of the total internal heat of the earth, 451 which includes the work of plate tectonics that is estimated at 0.9% of the total internal heat generated 452 in the earth's core. Approximately 30% of this internal heat is radiated by land, 69% is exchanged with 453 ocean water, and the remaining 1% is relieved by plate tectonics as geological activities.

454

# <sup>455</sup> 5. EFFECT OF SURFACE TEMPERATURE ON THE TECTONIC CYCLE

Based on Purkey and Johnson (2010), the temperature of the deep oceans around the world,
below 4 000 m, is presently warming at about the same rate of surface warming. The temperature of

458 ocean floor is increasing because it has to maintain the density of the adjacent brine equal or less than 459 that of the falling brine of the thermohaline circulation, at about Greenland surface water density. 460 Therefore, the temperature of surface water has to be equal or less than the temperature of the abyssal 461 brine adhering to ocean floor. Consequently, there can be no heat transfer from the surface to ocean 462 floor with brine circulation. The observed abyssal warming is caused by earth's internal heat that 463 maintains the density of the brine near ocean floor equal or less than that of surface water at all times. It 464 is reasonable to assume that ocean floor warming occurs virtually totally, readily, and equally to surface 465 warming, otherwise the thermohaline circulation would temporarily cease frequently, which is not 466 observed. In Fig. 3, the thermohaline circulation is schematically presented. The circulation brings to 467 surface water earth's internal heat where it is removed by evaporating water.

468 Referring to Fig. 4, boundary conditions of the heat diffusion equation vary with the age of the 469 oceanic lithosphere. For a mature and thick plate at location 3, the boundary condition is 470 -k  $(dT/dz)_{z0}$ =q=constant, where T is the temperature of the lithosphere, °K; k is the thermal 471 conductivity of basalt, J m<sup>-1</sup> s<sup>-1</sup>  ${}^{\circ}K^{-1}$ ; z0 is the vertical coordinates of the lithosphere at ocean floor, m; and q is the earth's internal heat flux, constant, approximately equal to 0.093 W m<sup>-2</sup> based on Davies 472 473 (2010). The temperature profile of most of the thick oceanic lithosphere is barely affected by the 474 observed surface temperature rise. This is not the case for midocean ridges, which are engine chambers 475 and most sensitive components of the tectonic system. At location 1, the lithosphere does not exist; it is 476 a newly recycled and solidified magma and the boundary condition is -k(dT/dz)<sub>z0</sub>=h x [Ts-T] where, h is the lithosphere-ocean convective heat transfer coefficient, approximately equal to  $7.30 \times 10^{-5}$  W m<sup>-2</sup> 477 °K<sup>-1</sup> and Ts is surface temperature, °K. The boundary conditions at location 2, where the solid 478 479 lithosphere just forms and cools close to surface temperature, is (dT/dt)<sub>z0</sub>=dTs/dt. Variations of surface temperature with time, dTs/dt, are available in the record. Unlike the thick oceanic lithosphere, the solution of the Fourier equation for midocean ridges is very much dependent on surface temperature Ts. The difference, Ts-T, can be as small as several degrees Kelvin and as large as few hundred degrees Kelvin. The observed variations of surface temperature by 0.007 °K annually are not, therefore, negligible. They cause tangible and virtually immediate impact on engine chamber thermodynamics. Given the large surface area involved, variations of the energy of geological activities released to the surroundings with surface temperature rise cannot be ignored.

As shown in Fig. 1 and Fig. 5, which are not to scale, the total heat generated in the earth's interior, Qg, splits between the oceanic and continental plates, Qi and Qj respectively. Based on Incropera and De Witt (1985), the following equations can be written for the earth's internal heat exchanged between mantle, ocean, and land:

- 491
- 492 Qg =Qj+Qi=constant; Qi=constant; Qj=constant

493 Qg =Qo+Ql; Ql=W+Qj; Qi=Qo+W

- 494 Qo =Uo Ao (Th-Ts); Qc=Uc Ac (Th-Ts)
- 495 1/Uo=1/hi+1/ho+Zo/k; 1/Uc=1/hi+1/ho+Z/k

- 497 Where
- 498 Qg=Total heat generated at the earth's interior,  $J yr^{-1}$ , constant.
- 499 Qi=Fraction of Qg to the oceanic plates,  $J yr^{-1}$ , constant.
- 500 Qj=Fraction of Qg to land,  $J yr^{-1}$ , constant.
- 501 Qo=Earth's internal heat to ocean, which is then removed by evaporation,  $J yr^{-1}$ .
- 502 Ql =Earth's internal heat to land, which is then radiated,  $J yr^{-1}$ .

- 503 Qc = Heat rejected to ocean at midocean ridges by the tectonic engine, J yr<sup>-1</sup>, Fig. 1 and Fig. 4.
- 504 W = Mechanical energy of plate tectonics,  $J yr^{-1}$ .
- 505 Uo =Overall heat transfer coefficient between mantle and sea water, J yr<sup>-1</sup> m<sup>-2</sup>  $^{\circ}$ K<sup>-1</sup>.
- 506 Uc =Overall heat transfer coefficient between mantle and sea water at midocean ridges, J yr<sup>-1</sup> m<sup>-2</sup>  $^{\circ}$ K<sup>-1</sup>.
- 507 hi =Heat transfer coefficient of the large-scale mantle convection, J yr<sup>-1</sup> m<sup>-2</sup>  $^{\circ}$ K<sup>-1</sup>.
- 508 ho =Heat transfer coefficient of sea water convection, J yr<sup>-1</sup> m<sup>-2</sup> °K<sup>-1</sup>.
- 509 Ao=Heat transfer area of the oceanic lithosphere,  $m^2$ .
- 510 Zo =Average thickness of the oceanic lithosphere, m.
- 511 Ac=Heat transfer area of the lithosphere at midocean ridges,  $m^2$ .
- 512 Z = Average thickness of the lithosphere at midocean ridges, m.
- 513 k =Average thermal conductivity of the lithosphere, J yr<sup>-1</sup> m<sup>-1</sup>  $^{\circ}$ K<sup>-1</sup>.
- 514 Th =Mantle temperature,  $^{\circ}$ K.
- 515 Ts =Temperature of surface water or ocean floor,  $^{\circ}$ K.
- 516

The area Ac is a small fraction of the total area Ao. The value of the overall heat transfer coefficients Uo and Uc are about equal, of the order of 2 300 J yr<sup>-1</sup> m<sup>-2</sup> °K<sup>-1</sup> (0.3 W m<sup>-2</sup> °K<sup>-1</sup>). Ql≈0.31 x Qg and Qo≈0.69 Qg. The heat to the continents, Qj, is approximately equal to 0.3 Qg and the energy of geological activities W≈0.01 Qg. Because Qi is constant, then  $\Delta$ Qo=- $\Delta$ W. Or a change in the heat exchanged with the ocean water is equal to the change with opposing sign of the energy of geological activities. If surface temperature and ocean floor, Ts, increases,  $\Delta$ Qo decreases and  $\Delta$ W increases. Because the temperature of the solid earth is steady and the internal heat flow of the earth is constant,

524 the decrease in the heat to ocean,  $\Delta Q_0$ , is also equal to the increase in the upper mantle convection, 525  $\Delta Qh$ . Therefore  $\Delta Qh = \Delta W$  which suggests that all of the increase in the upper mantle convection is 526 converted to work following surface temperature rise. This does not violate the laws of 527 thermodynamics because the process is related to an existing thermodynamic engine having a thermal 528 efficiency  $\eta$ . A small increase in the heat, Oh, available at the hot temperature reservoir can be 529 converted in full to additional mechanical work provided that the efficiency of the existing engine 530 improves. To convert all of the annual heat increase,  $\Delta Qh$ , to work, the efficiency of the tectonic engine 531 has to improve by 0.073%.

As surface temperature increases, the temperature of the ocean floor increases. The efficiency of the tectonic engine decreases with surface temperature rise. The increase in surface temperature also increases the heat, Qh, available for the forced convection cycle of the upper mantle and the cycle moves faster. Midocean ridges rise more as a result and the height of the engine chamber increases, which is observed based on Sjöberg (2004), and engine efficiency increase. Mathematics shows that variations of the engine's efficiency,  $\eta$ , can be expressed by the following equations:

- 538
- 539  $\eta$ =Power delivered/Power supplied= F x v/(Qh per unit time)=P x A x v/(Qh per unit time)

540 
$$d\eta/dA = P \times v \times 3.15 \times 10^7 / Qh + (A \times v \times 3.15 \times 10^7 / Qh) \times dP/dA$$

541  $\eta$  increases with an increase in the area, A, of the tectonic engine's chamber.

542  $d\eta/dTs = (d\eta/dTc) \times (dTc/dTs) = 1/[4 Th (\eta-1)]$ 

543  $\eta$  decreases with an increase in surface temperature Ts.

544

545 Where Ts is surface temperature; P is magma pressure at midocean ridges, Pa; v is tectonic plate 546 velocity, m s<sup>-1</sup>; Tc is the average temperature of the lithosphere at midocean ridges, °K; and A is the

547 area obtained by multiplying the length of midocean ridges by the average height measured from crest of midocean ridges to base at the bottom of the tectonic plates. The multiplier,  $3.15 \times 10^7$ , is the 548 549 number of seconds in one year. In the derivation of  $d\eta/dA$ , the ratio v/Qh is constant because the heat of 550 the upper mantle convection, Qh, is proportional to the speed of the cycle, v. The slope, dP/dA is positive. The observed annual increase of surface water temperature is in the order of 0.01  $^{\circ}$ K yr<sup>-1</sup> and 551 the associated decrease in efficiency is negligible. The increase in the efficiency, based on the observed 552 553 minimum rise of midocean ridges of 5 millimeters annually, is sufficient to increase the efficiency of 554 the tectonic engine by 0.073%, required to convert all of the increase in mantle convection,  $\Delta Qh$ , to 555 additional work,  $\Delta W$ . The heat rejected Qc thus can remain unchanged and  $\Delta Qc=0$ .

556 The tectonic system possesses a large inertia and it requires a long time to reach a steady state 557 following surface temperature perturbation. For the foreseeable future, the time of surface temperature 558 rise is small in geological terms and it is a transient period for the tectonic cycle as a whole. The 559 scenario is different for midocean ridges because of their vicinity to magma generation, and the ridges 560 are affected practically immediately. Their heights increase and, at midocean ridges, the lithosphere 561 moves faster with the increase of the convection cycle. Following velocity increase, the thickness of the 562 lithosphere at midocean ridges, Z, decreases. The changes of, Uo, and, Ao, with variations of the 563 temperature of ocean floor are in fact equal to those of, Uc, and, Ac, respectively. The area, Ac, 564 increases and the overall heat transfer coefficient, Uc, at midocean ridges improves as well. 565 Consequently, the flow of the heat rejected by the tectonic engine chamber, Qc, can remain unchanged 566 even with surface temperature rise, or  $\Delta Qc$  can be equal to zero, in spite of the increase in the 567 temperature of ocean floor. Based on this analysis of the engine's efficiency and heat transfer, the 568 projection of the energy of plate tectonics will consider that the heat rejected by the tectonic cycle, Qc, 569 remains constant with surface temperature rise.

### 571 6. PROJECTION OF THE ENERGY OF PLATE TECTONICS

To obtain the thermodynamic relationship that correlates surface temperature rise and geological activities, a reference baseline period of time must be defined. The baseline is the period of time prior to the onset of the Industrial Revolution 1750 as suggested by the Intergovernmental Panel on Climate Change. The equations and calculated values in Sect. 4 will be used to define the baseline, whose thermodynamic variables will be designated by the zero suffix. The following are definitions, units, and values of the variables required:

578

579 Qh0=Energy of the upper mantle convection of the baseline period,  $5.61 \times 10^{19} \text{ J yr}^{-1}$ .

<sup>580</sup> Th0=Temperature of the mantle, constant and unchanged with time, 1 553.2 °K.

<sup>581</sup> Ta0=Temperature of the ocean floor of the baseline period, which is about equal to Greenland surface <sup>582</sup> water temperature  $\approx$ 274.2 °K.

583 Tc0=Temperature of the cold reservoir of the baseline period, (Ta0+Th0)/2=913.7 °K.

584 Tc =Temperature of the cold reservoir for a desired surface temperature rise  $\Delta$ Ts, (Ta0+Th0)/2+ $\Delta$ Ts, 585 °K.

Th =Temperature of the hot reservoir for given surface temperature rise, constant, and it is equal to Th0, °K.

<sup>588</sup> W0 = Energy of geological activities of the baseline period,  $1.29 \times 10^{19} \text{ J yr}^{-1}$ .

<sup>589</sup> Qc0=Energy lost by the upper mantle convection at the cold temperature of the baseline period,

590 constant, and it is equal to Qh0-W0=
$$4.32 \times 10^{19} \text{ J yr}^{-1}$$
.

<sup>591</sup> W =Energy of geological activities for a desired surface temperature,  $Tc0+\Delta Ts$ , J yr<sup>-1</sup>.

<sup>592</sup> Qh =Energy of the upper mantle convection for a desired surface temperature,  $Tc0+\Delta Ts$ , J yr<sup>-1</sup>.

<sup>593</sup> Qc =Heat lost by the upper mantle convection at the cold temperature when surface temperature

594 increases by 
$$\Delta Ts$$
, J yr<sup>-1</sup>, constant, 4.32 x 10<sup>19</sup> J yr<sup>-1</sup>.

- <sup>595</sup> Ta =Instantaneous temperature of ocean floor, which is about equal to Greenland instantaneous surface <sup>596</sup> water temperature, °K. It is equal toTa0 plus surface temperature rise  $\Delta$ Ts, °K.
- <sup>597</sup> Z0 = Average thickness of the tectonic plates for the baseline period, m. Its value is not required.
- <sup>598</sup> Z =Average thickness of the tectonic plates after surface temperature has risen by  $\Delta$ Ts, m. Its value is <sup>599</sup> not required.
- 600 t0 = Age of the tectonic plates for the baseline period, yr. Its value is not required.
- 601 t =Age of the tectonic plates after surface temperature has risen by  $\Delta Ts$ , yr. Its value is not 602 required.

 $v_0 = Average speed of the tectonic plates for the baseline period, m yr<sup>-1</sup>. Its value is not required.$ 

 $v = Average speed of the tectonic plates after surface temperature has risen by <math>\Delta Ts$ , m yr<sup>-1</sup>. Its value is

605 not required.

- 606 Qh is directly proportional to v.
- v is inversely proportional to t.

 $^{608}$  Z is proportional to  $t^{1/2}$ .

609

610 Qc is directly proportional to -(Th-Tc)/Z. The constant of proportionality is equal to 2k, where k is the

<sup>611</sup> weighted average value of the thermal conductivities of the solid portion of the mantle and lithosphere.

<sup>612</sup> The following applies for the plate tectonic system:

613

<sup>614</sup> Qh-Qc=W; Qh0-Qc0=W0. Because the earth's internal heat rejected, Qc, is constant, then Qc=Qc0 and

615 W-W0=Qh-Qh0. The difference, W-W0= $\Delta$ W, is equal to the increase in the geological activities with 616 surface temperature rise. Qc is directly proportional to -(Th-Tc)/Z. Because Qc=Qc0, then (Th-617 Tc)/(Th0-Tc0)=Z/Z0. On the other hand, (t/t0)=(v0/v)=(Z/Z0)<sup>2</sup> and Qh/Qh0=v/v0. Therefore, 618 Qh/Qh0=[(Th0-Tc0)/(Th-Tc)]<sup>2</sup>=X<sup>2</sup>, (Qh-Qh0)/Qh0=X<sup>2</sup>-1, and  $\Delta$ W=Qh0 (X<sup>2</sup>-1). Since Th0=Th and 619 Tc>Tc0, then X>1, and  $\Delta$ W>0. Or the geological activities increases with surface temperature rise  $\Delta$ Ts. 620

621 The rise of midocean ridges with climate change can be calculated by knowing the projected 622 energy of the geological events. The annual increase in geological energy is equal to  $\Delta W$ , which is also 623 equal to  $\Delta Qh$ . Therefore, the height of midocean ridges can be calculated by e x (0.33 x  $\Delta Qh+Qh)/Qh$ , 624 where e=average elevation of the ridges above ocean floor, about 3 000 m based on Forsyth and Uyeda 625 (1975). The present annual trend of the energy of geological activities,  $\Delta W$ , is equal to Oh0 (X<sup>2</sup>-1), 626 where  $X = [(Th-Tc)/(Th-(Tc+\Delta Ts))]$  and  $\Delta Ts$  is the total increase of surface water temperature for the 627 present warming trend. Average change of the height of midocean ridges  $\Delta e=0.33$  ( $\Delta W/Qh0$ ) x e, 628 where e=average height of the ridges above ocean floor. In Table 3, the projected energy of geological 629 activities,  $\Delta W$ , and average midocean ridges rise are tabulated with surface temperature.

630

## <sup>631</sup> 7. DISCUSSION

Schubert G. (2001) summarizes the current state of tectonics understanding. Major driving forces are ridge push and slab pull, or tectonic plates themselves are the main source of their own driving force. This of course is in disagreement with the laws of thermodynamics in that plate tectonics is assumed to be perpetual motion machine, which in practice cannot exist. Energy of slab pull and ridge elevation are equalized by opposing energy of gravity forces as mantle and basalt masses rise at the opposing sides of the cycle. To overcome friction, external energy source is required based on the laws of thermodynamics. Ridge push and slab pull can, therefore, be only effects of the dynamics of
 plate tectonics and cannot be causes of the motion.

640 Mantle buoyancy at ridges is unlikely occur. Buoyancy is a thermodynamic transformation 641 where less dense, or buoyant, thermodynamic system is enclosed by more dense surroundings. Mantle 642 does not meet these basic thermodynamic requirements; it has practically uniform temperature and 643 cannot be thermodynamic system and surroundings at the same time. Therefore, mantle buoyancy must 644 be excluded as a driver. Midocean ridges are higher than trenches because of magma generation below 645 the ridges, not as a result of mantle buoyancy. Pressure develops with magma generation and the 646 developed pressure raises the ridges. Similar to volcanoes, they have magma chamber above which 647 crust is uplifted. For the hypothetical scenario that mantle buoyancy is the cause of midocean ridge 648 energy, the calculated potential energy of ridges by buoyancy considerations is significantly smaller than observed. The observed energy of ridges in J kg<sup>-1</sup> is equal to  $E_p = g x$  (e+Z/2), where g is gravity 649 acceleration, 9.8 m s<sup>-2</sup>; e=average height of the midocean ridges above ocean floor, about 3 000 m 650 651 based on Forsyth and Uyeda (1975); and Z is basalt thickness at oceanic trenches, approximately equal 652 to 6 000 m, Schubert G. (2001). Energy of ridges caused by mantle buoyancy would be  $E_b=g \ge \alpha \ge t \ge 0$  $\Delta T$ , where  $\alpha$  is the volumetric thermal expansion about 3 x 10<sup>-5</sup> K<sup>-1</sup>, Bercovici (2010); and t, is average 653 654 plate thickness, m. Tectonic plate thickness is negligible at midocean ridges and approximately equal to 655 125 000 m at trenches, which yields to average thickness t=62 500 m.  $\Delta T$  is the maximum temperature 656 difference between average plate temperature at ridges, 1 553.2 °K, and that at trenches, 923.2 °K.  $\Delta T$ 657 is about equal to 630 °K. Sources of the data used in this section are provided in the Sect. 3 and Sect. 4. The observed energy of midocean ridges  $E_p=58\,800$  J kg<sup>-1</sup> and the hypothetical energy of ridges that 658 would be caused by mantle buoyancy  $E_b=11530 \text{ J kg}^{-1}$  at the most, because the used  $\Delta T$  is the 659

660 maximum possible. The calculated hypothetical energy of midocean ridges that would be caused by 661 mantle buoyancy  $E_{\rm b}$  is too small and cannot be the cause of the observed energy of ridges  $E_{\rm p}$ .

662 The tectonic engine presented in this manuscript, in principle, resembles thermodynamic 663 engines successfully in operation. In general, there is a correlation between mechanical work 664 exchanged and latent heat of phase change of cycle medium. Similarly, there is a relationship between 665 basalt latent heat of melting and work produced. In a complete cycle, enthalpy and potential energy 666 variations of lithosphere and mantle are about negligible. The lithosphere undergoes transformation 667 from cold and solid rocks to hot mantle, which in turns partially melts. It then solidifies and cools to 668 produce the starting lithosphere and the cycle repeats. The only change that occurs in a complete cycle 669 is lithosphere phase change and mechanical work produced, which is equal to the energy of geological 670 activities. Therefore, the net mechanical work of plate tectonics is equal to the latent heat of basalt. This 671 conclusion is demonstrated mathematically and numerically between lines 333 and 407. Accordingly, 672 Table 1 is prepared and energy and forces of plate tectonics calculated.

Plate tectonics as a thermodynamic engine satisfies all requirements of the laws of thermodynamics, thus qualifies to be the driving force. First, external energy required is available, it is mantle heat; second, system and surroundings are clearly defined; third, cycle medium exists and it is basalt; and fourth, the means to convert mantle heat into mechanical work is also available, they are engine chambers at midocean ridges.

678 Ridge push and slab pull are only effects of the magmatic process of tectonics, they cannot be 679 drivers. As effects, they can be used to calculate the magnitudes of the cause such as force and energy 680 of plate tectonics. However, the calculated values using ridge push and slab pull do not vary with the 681 observed small variations of surface temperature. Likewise, large-scale mantle convection is unlikely to 682 vary for the lithosphere is too thick. Therefore and based on the current tectonics understanding, no 683 correlation can exist between the observed surface temperature rise and geological activities. This is not the case when the tectonic system is analyzed as a thermodynamic engine. Energy of plate tectonics
 increases with surface temperature rise.

686

## <sup>687</sup> 8. CONCLUSIONS

688 The thermodynamic cycle of plate tectonics is a reflection of the magmatic processes that 689 occurs deep in the mantle. Therefore, calculations of the geophysical parameters of this thermodynamic 690 cycle must agree with rock phase diagram, experiments, and observations. The calculated mantle partial 691 melting agrees closely with observations. Yoder (1976) estimated that the degree of mantle partial 692 melting is 30% based on experiments and observations, which yields to a latent heat of magma melting 693 of approximately 169 800 J kg<sup>-1</sup> calculated at mantle's pressure. Thermodynamics shows that the latent heat of magma melting is approximately equal to 181 100 J kg<sup>-1</sup>. The two are close within 6.0%. Based 694 695 on thermodynamics, the maximum Carnot theoretical efficiency of the tectonic engine is 0.41. This 696 indicates that the maximum mantle partial melting is 53%. In reality, this theoretical partial melting can 697 never be achieved. Based on observation and experiments, Yoder (1976, p. 112 and 113) concluded that 698 the maximum degree of mantle rock melting is about 50% by volume, which is approximately equal to 699 45% by weight. The maximum theoretical value calculated based on Carnot cycle, 53%, is 18% greater 700 than the observed at the most. The actual maximum value of the degree of melting will be less than 701 53% but greater than 30%, in reasonable agreement with the observed 45%. Therefore, 702 thermodynamics agrees closely with rock phase diagrams, experiments, and observations.

The calculated forces of the plate tectonic system compare closely with those published in the peer-reviewed literature. McKenzie (1969) estimates the total force of slab pull to be of the order of 12.5 x  $10^{12} \sin (45^\circ) = 8.8 \text{ x } 10^{12} \text{ N m}^{-1}$  for spreading velocity of 10 cm yr<sup>-1</sup>. Forsyth and Uyeda (1975) estimate of the energy of ridge push is nearly 8.0 x  $10^{18}$  J yr<sup>-1</sup> at the assumed floor spreading of 5.6 cm

 $vr^{-1}$ . The calculated total force is 5.38 x  $10^{12}$  N m<sup>-1</sup> and the calculated energy of midocean ridged 707 708 using thermodynamics is 33% of the total energy, approximately equal to 4.3 x  $10^{18}$  J yr<sup>-1</sup> for 709 spreading velocity of 4 cm yr<sup>-1</sup>. These values are of the same order of magnitude of those calculated by 710 McKenzie (1969) and Forsyth and Uyeda (1975). They differ by data source. If spreading velocity is 711 made the same, 4 cm yr<sup>-1</sup>, the agreement becomes even closer. The calculated weighted average force 712 of compression of the tectonic system,  $5.38 \times 10^{12}$  N m<sup>-1</sup>, produces an axial stress of about 0.43 kbar, 713 which compares with 0.3 kbar used by Forsyth and Uyeda (1975). From Table 1, the calculated weighted average of the energy of the tectonic engine is about 1.29 x  $10^{19}$  J yr<sup>-1</sup>, and in Table 2 the 714 observed radiated energy by the seismic events is approximately equal to 7.66 x  $10^{18}$  J yr<sup>-1</sup> based on 715 716 the United States Geological Survey, Earthquakes Facts and Statistics/Earthquake Archive Search. The 717 two figures are of the same order of magnitude and they suggest that the radiated seismic energy is 718 approximately equal to 60% of the total energy released by plate tectonics, a reasonable agreement 719 between calculations and observations.

The calculated present annual trend of geological activities, at least  $1.0 \times 10^{17}$  J, is of the same order of magnitude of the observed present annual trend of  $3.0 \times 10^{17}$  J, Fig. 6. The actual increase of the temperature of ocean floor is greater than average surface temperature used in the calculations, for sea temperature rise of the northern latitudes, which is unavailable, is greater than average. If the actual increase of ocean floor temperature is used instead, the calculated annual trend will approach closer to the observed one. The calculated present annual rise of midocean ridges of 16.0 mm is in agreement with the observed annual rise of 5-20 mm, Sjöberg (2004) and Árnadóttir (2009).

Volcanic events are on the rise as well. Using the Smithsonian Volcano Research Database and
 sampling every five years, the volcanic activities have an increasing trend with time. The following is

an annual average per two decades of the samples: From 1990 to 2010, the annual average number of
volcanoes is 65; from 1970 to 1990, the annual average number of volcanoes is 56; from 1950 to 1970,
the annual average number of volcanoes is 52. This observed increase of geological activities is
predicted by thermodynamics presented in this manuscript.

Based on these agreements with observations, experiments, and the work of others on tectonics, it is fair to conclude that the plate tectonic system is a thermodynamic engine that has engine chambers and mechanical components. The geophysical variables of this engine can be calculated by the traditional thermodynamic equations. This provides another method of calculating tectonics, sensitive enough to small variations of surface temperature. The geological activities can be calculated at the global and regional levels. Accordingly, a suggested projection of the geological activities with surface temperature rise is presented in Table 3.

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#### 741 Acknowledgement

The referenced publications for this manuscript are available either online or at the website of the first author of the referenced publications. Surface temperature trend is available at the official website of the Intergovernmental Panel on Climate Change. This publication is funded by author.

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<sup>845</sup> Table 1. Calculated annual average energy dissipated by plate tectonics at different sea floor spreading

<sup>846</sup> rates. (a) for an average ocean crust thickness of 6-7 km at midocean ridges, (b) for an average ocean

<sup>847</sup> crust thickness of 15 km at midocean ridges.

a)

		Annual sea floor	Length of mid-	Mantle latent heat	Mantle melting	Ocean crust density	Ocean crust thickness at	Energy to tecto-
850		spreading, mm	ocean ridges, km	of fusion, J/kg	percent	kg/cubic meter	midocean ridges, km	nics, J/yr
		20	60,000	565,973	30	2940	6.5	3.894E+18
851		30	60,000	565,973	30	2940	6.5	5.841E+18
001		40	60,000	565,973	30	2940	6.5	7.787E+18
852		50	60,000	565,973	30	2940	6.5	9.734E+18
002		60	60,000	565,973	30	2940	6.5	1.168E+19
853		70	60,000	565,973	30	2940	6.5	1.363E+19
055		80	60,000	565,973	30	2940	6.5	1.557E+19
854		90	60,000	565,973	30	2940	6.5	1.752E+19
0.5-		100	60,000	565,973	30	2940	6.5	1.947E+19
855	b)							
856								
857			Lenerth of mid	Mantia latant haat	Mantle melting		One on a much this lange of	
0.57		Annual sea floor	Length of mid-	iviantie latent heat	iviantie melting	Ocean crust density	Ocean crust thickness at	Energy to tecto-
050		spreading, mm	ocean ridges, km	or rusion, J/Kg	percent	kg/cubic meter	midocean fidges, km	nics, J/yr

spreading, mm	ocean ridges, km	of fusion, J/kg	percent	kg/cubic meter	midocean ridges, km	nics, J/yr
20	60,000	565,973	30	2940	15	8.985E+18
30	60,000	565,973	30	2940	15	1.348E+19
40	60,000	565,973	30	2940	15	1.797E+19
50	60,000	565,973	30	2940	15	2.246E+19
60	60,000	565,973	30	2940	15	2.696E+19
70	60,000	565,973	30	2940	15	3.145E+19
80	60,000	565,973	30	2940	15	3.594E+19
90	60,000	565,973	30	2940	15	4.043E+19
100	60,000	565,973	30	2940	15	4.493E+19
	spreading, mm 20 30 40 50 60 70 80 90 100	spreading, mm         ocean ridges, km           20         60,000           30         60,000           40         60,000           50         60,000           60         60,000           70         60,000           80         60,000           90         60,000           100         60,000	spreading, mm         ocean ridges, km         of fusion, J/kg           20         60,000         565,973           30         60,000         565,973           40         60,000         565,973           50         60,000         565,973           60         60,000         565,973           70         60,000         565,973           80         60,000         565,973           90         60,000         565,973           100         60,000         565,973	spreading, mm         ocean ridges, km         of fusion, J/kg         percent           20         60,000         565,973         30           30         60,000         565,973         30           40         60,000         565,973         30           50         60,000         565,973         30           60         60,000         565,973         30           70         60,000         565,973         30           80         60,000         565,973         30           90         60,000         565,973         30           100         60,000         565,973         30	spreading, mm         ocean ridges, km         of fusion, J/kg         percent         kg/cubic meter           20         60,000         565,973         30         2940           30         60,000         565,973         30         2940           40         60,000         565,973         30         2940           50         60,000         565,973         30         2940           60         60,000         565,973         30         2940           70         60,000         565,973         30         2940           80         60,000         565,973         30         2940           90         60,000         565,973         30         2940           100         60,000         565,973         30         2940	spreading, mm         ocean ridges, km         of fusion, J/kg         percent         kg/cubic meter         midocean ridges, km           20         60,000         565,973         30         2940         15           30         60,000         565,973         30         2940         15           40         60,000         565,973         30         2940         15           50         60,000         565,973         30         2940         15           60         60,000         565,973         30         2940         15           60         60,000         565,973         30         2940         15           70         60,000         565,973         30         2940         15           80         60,000         565,973         30         2940         15           90         60,000         565,973         30         2940         15           90         60,000         565,973         30         2940         15           100         60,000         565,973         30         2940         15

Table 2. Observed annual number of earthquakes, obtained from the United States Geological Survey, Earthquakes Facts and Statistics/Earthquake Archive Search. The energy radiated is calculated by Log (Es)=4.8+1.5 Ms, where Es is the seismic energy in Joules and Ms is the magnitude of the earthquake.

011	8	7	4
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Earthquake

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Magnitude												ge		J	energy radiated
Limits													L. limit	U. Limit	J
8.0 to 9.5	1	1	0	1	2	1	2	4	0	1	1	1.3	6.31E+16	1.12E+19	7.18E+18
7.0 to 7.9	14	15	13	14	14	10	9	14	12	16	23	14.0	2.00E+15	4.47E+16	3.27E+17
6.0 to 6.9	146	121	127	140	141	140	142	178	168	144	151	145.3	6.31E+13	1.41E+15	1.07E+17
5.0 to 5.9	1,344	1,224	1,201	1,203	1,515	1,693	1,712	2,074	1,768	1,896	2,200	1,620.9	2.00E+12	4.47E+13	3.78E+16
4.0 to 4.9	8,008	7,991	8,541	8,462	10,888	13,917	12,838	12,078	12,291	6,805	10,164	10,180.3	6.31E+10	1.41E+12	7.51E+15
3.0 to 3.9	4,827	6,266	7,068	7,624	7,932	9,191	9,990	9,889	11,735	2,905	4,341	7,433.5	2.00E+09	4.47E+10	1.73E+14
2.0 to 2.9	3,765	4,164	6,419	7,727	6,316	4,636	4,027	3,597	3,860	3,014	4,626	4,741.0	6.31E+07	1.41E+09	3.50E+12
1.0 to 1.9	1,026	944	1,137	2,506	1,344	26	18	42	21	26	39	648.1	2.00E+06	4.47E+07	1.51E+10
0.1 to 0.9	5	1	10	134	103	0	2	2	0	1	0	23.5	8.91E+04	1.41E+06	1.76E+07
Total															7.66E+18

Avera-

Enegy radiated Average annual

Table 3. Suggested trend of the energy of geological activities with surface temperature rise. The baseline year is 1750 at which year surface temperature rise is zero and trend of geological activities is zero. Average height of midocean ridges is 3 000 meters for this baseline year. The source of surface temperature trend is the average of the scenarios as projected by the Intergovernmental Panel on Climate Change, Climate Change 2013: The Fifth Assessment Report, AR5, Fig. SPM.4 (b).

885		-	-								
885	Description/Year ending	2010	2020	2030	2040	2050	2060	2070	2080	2090	2100
	Basline year is 1750, Tc for										
007	1750 is equal to 913.7 °K										
880	Surface temperature rise, <sup>0</sup> C	0.55	0.60	0.65	0.80	1.00	1.20	1.41	1.63	1.88	2.18
	Temperature of the cold	914.25	914.30	914.35	914.50	914.70	914.90	915.11	915.33	915.58	915.88
	reservoir, Tc, <sup>0</sup> K										
887	Annual energy trend, J	9.66E+16	1.05E+17	1.14E+17	1.41E+17	1.76E+17	2.11E+17	2.48E+17	2.87E+17	3.31E+17	3.84E+17
	Observed increase of the		3.00E+17								
	annual average, J										
888	Annual average spreading of	4.000	4.008	4.008	4.010	4.013	4.015	4.018	4.020	4.024	4.027
	ocean floor, cm/yr										
	Average rise of midocean	1.72	1.88	2.03	2.50	3.13	3.76	4.42	5.11	5.90	6.85
889	ridges, m										
007	Annual rise of midocean	6.6	15.7	15.7	47.0	62.8	62.8	66.0	69.2	78.8	94.6
	ridges, mm/yr										
890	Observed annual rise of	5 -20	15 -20								
070	midocean ridges, mm/yr										



Fig. 1. (a) A schematic of sea floor spreading at midocean ridges and a subduction zone, not to scale,
based on Floyd (1991, p. 31 and 127). (b) a free body diagram of the oceanic plate 1. The plate is
subjected to a large force of compression, F.







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Fig. 3. A schematic cross section North-South of land and ocean, not to scale. The thermohaline circulation links surface water temperature with the temperature of ocean floor. The temperature of ocean floor is equal to surface water temperature of the high latitudes of the northern hemisphere. The circulation brings the earth's internal heat exchanged with sea water, Qo, to surface where it is evaporated.



Fig. 4. A schematic of midocean ridges as tectonic engine chambers based on P. A. Floyd (1991 p. 31 and 127), not to scale. Midocean ridges enclose engine chambers that convert mantle heat, Qh, to work. Pressure is produced following mantle partial melting underneath the ridges, which raises the ridges that act as pistons of engine chambers. The mature plate at location 3, conveys the work produced, W, to oceanic trenches, Fig. 1 and Fig. 5, where the work is released as seismic and volcanic activities.

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Fig. 6. Observed trend of the annual energy radiated by earthquakes between the years 2000 and 2013 in Joules. Source: United States Geological Survey, Earthquakes Facts and Statistics/Earthquake Archive Search. The annual increase is  $3.0 \times 10^{17}$  Joules.

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